

**A three-dimensional convective  
ensemble parameterization for a  
smooth transition to cloud resolving  
scales**

**Georg Grell**

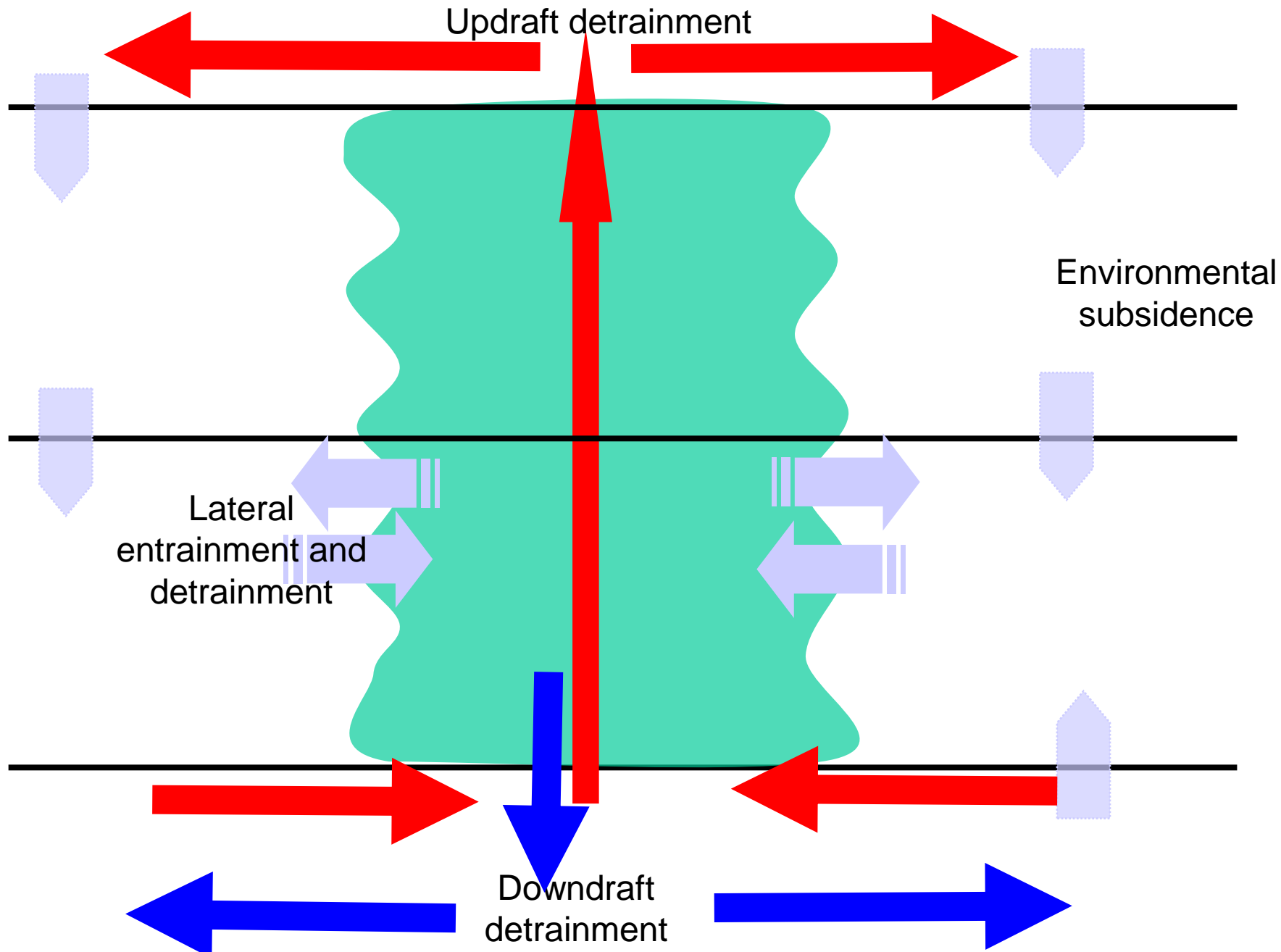
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# Structure of talk

1. Some aspects and improvements of capabilities of Grell-Devenyi (GD) convective parameterization
2. Spreading subsidence over neighboring grid points (the “G3” scheme)
3. Examples of some very different applications:
  - PDF’s for precipitation likelihood from one model run
  - Applying the scheme for EnKF data assimilation with many model runs

# Typical “mass flux” scheme: One Grid Box



# GD and G3 are ensemble schemes

Stochasticism in GD introduced through varying closure assumptions, feedback assumptions, or trigger functions used by various convective parameterizations

# “Closures” within GD (assumptions that regulate the amount and location of parameterized convection

- Integrated vertical advection of moisture (Krishnamurthi)
- Low level vertical velocity (Brown or Frank and Cohen)
- Removal of instantaneous stability ( like KF)
- Dependence on destabilization (old grell)
- Dependence on destabilization (as in SAS of GFS)

Triggers within GD and G3 used as an ensemble (important for location of convection):

How thin must the “cap” be before convection can poke through?

trigger ensemble for every closure

# Possible perturbations of feedback assumptions

- Radius (size) of clouds, entrainment
- Detrainment from updraft (stability dependent)
- Wind shear dependent precipitation efficiency (dependence of downdraft strength on updraft strength)

Because of expense not used in basic scheme

# Improvements of capabilities (GD to G3) : Change in “closures”

- Remove AS closure (SAS GFS)
- Add an ensemble to look at the min/max/average values of the other ensembles within the nearest neighbor grid points (could be nine or 25 grid points)
- Add a random number generator to arbitrarily pick some ensemble values out of the “pack”

**This constitutes the basic G3 scheme**

# The not so basic G3 scheme

The G3 scheme has additional features that may be turned on or off:

- Horizontal and vertical smoothing of tendencies
- A three-dimensional application of the feedback to the model
- A stochastic approach to shallow convection
- Option for tracer transport and some aqueous phase chemistry and scavenging
- Direct coupling with atmospheric radiation (and photolysis) through outputting clw/ice mix ratios and cloud fraction

# Why 3-d application?

Gray scales: scale separation

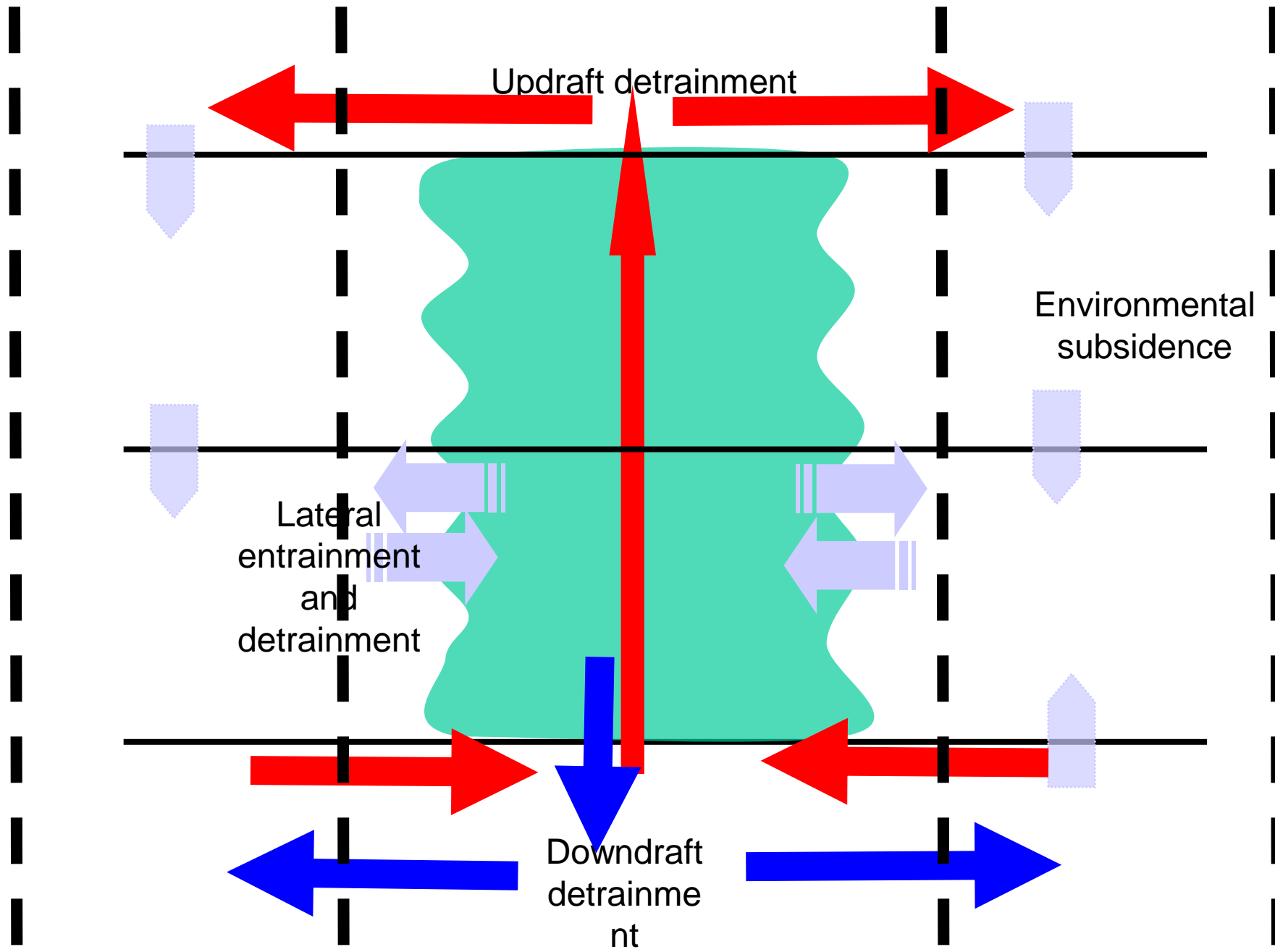
Two main Problems

- Model may try to simulate the already parameterized process explicitly
- Parameterization may try to parameterize a process that the model may be able and **was supposed to simulate** explicitly
  - Subsidence dries too much! Flow will be too viscous for explicit convection!

# Why 3-d application?

To allow for a smooth transition on “gray” scales, where more and more of the convection is resolved

# G3: nine or more grid boxes

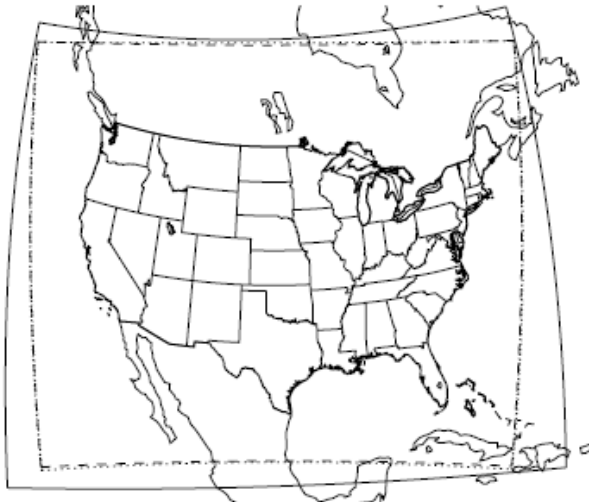


# The number of grid points that is affected

- Inner most box only experiences lateral entrainment/detrainment
- Currently only neighboring grid points (9), in near future we will also test the neighbors of the neighbors (25 gridpoints)
- Also possible in near future: linear (smooth) transition of what part is spread in what box
- Mass conservation can easily be guaranteed

# First, use “core comparison” test bed data set and test with ARW WRF

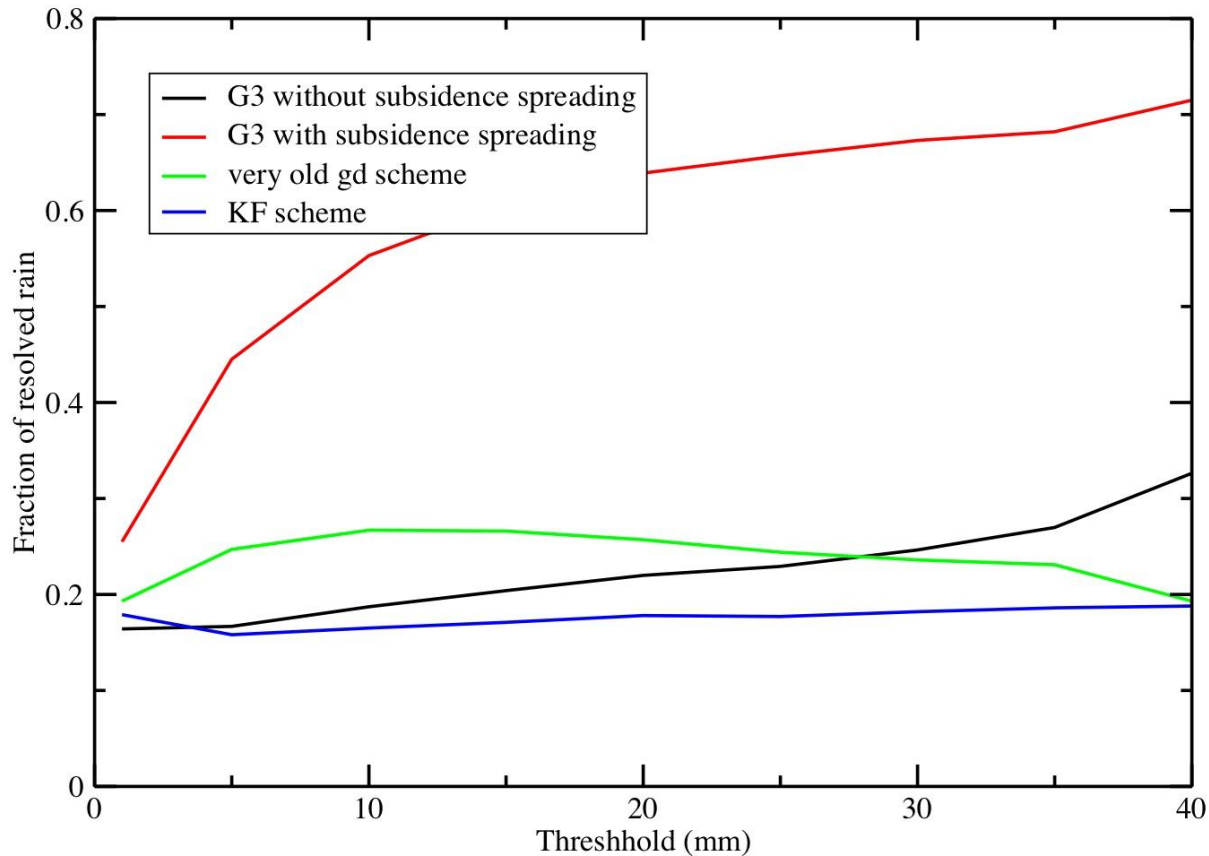
- Data set (1-month worth of 60 runs) was used to compute statistics and compare ARW and NMM cores within WRF
- CONUS grid with 12km resolution
- 24hr accumulated precipitation data based on rain gauge and radar analysis



**Will be re-done at  
dx=3 km over  
shorter period**

# Fraction of modeled precipitation that is resolved over all runs!

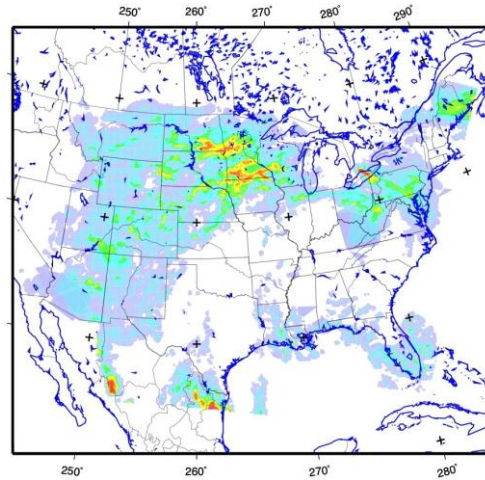
Effects of subsidence spreading on resolved versus non resolved preip  
Average over 60 runs



**As was intended:  
precipitation shifts  
from convective to  
explicit!**

# 24hr accumulation at 07-26-00

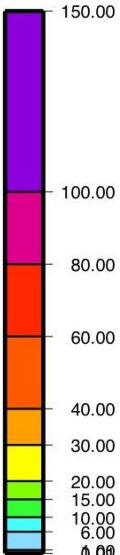
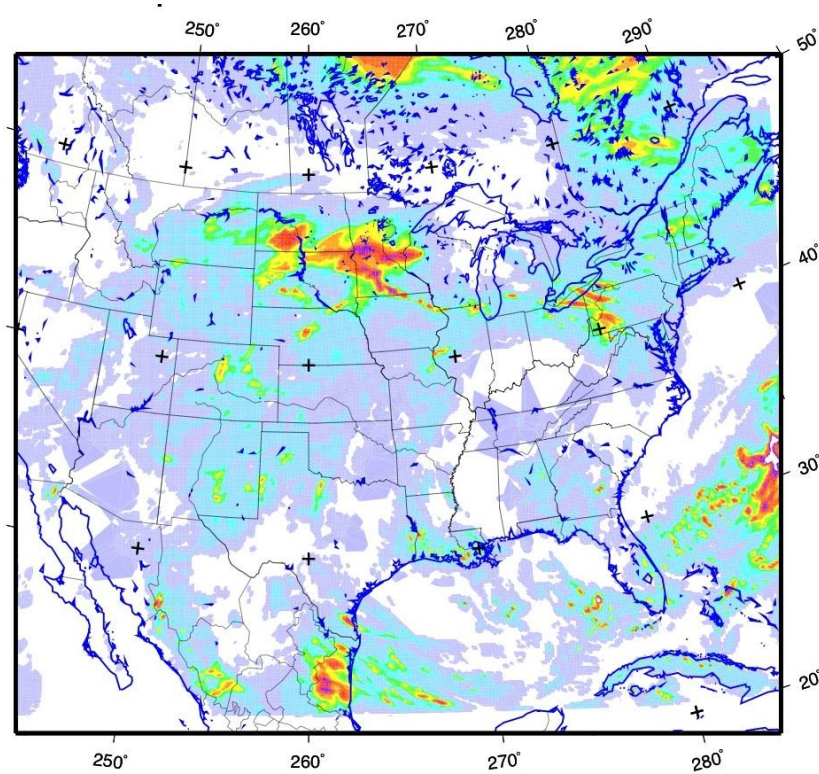
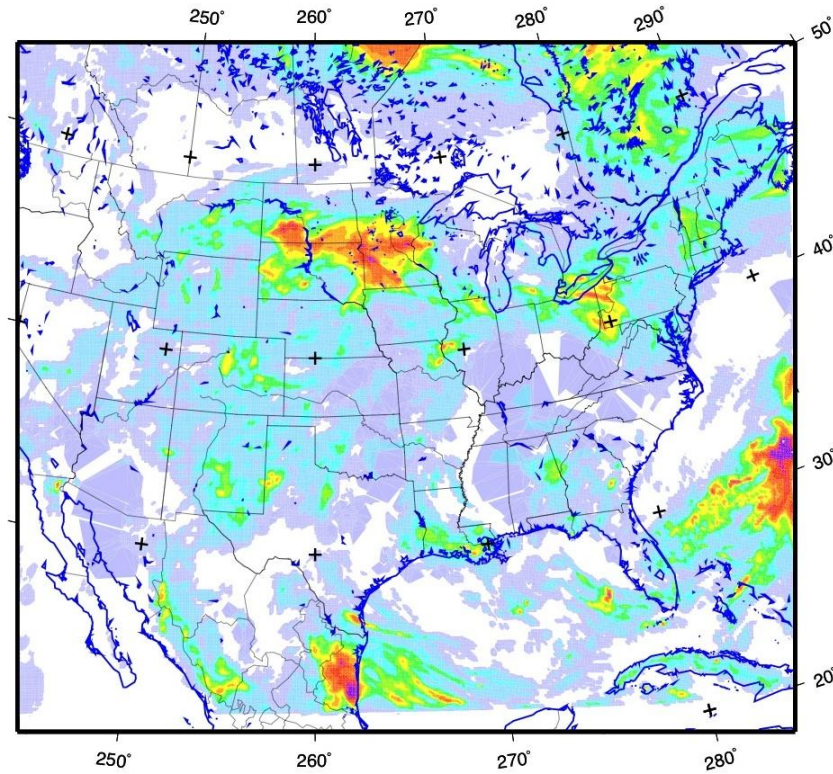
Overall pattern not  
changed too much,  
less coverage, heavier  
precip at large  
thresholds



OBS

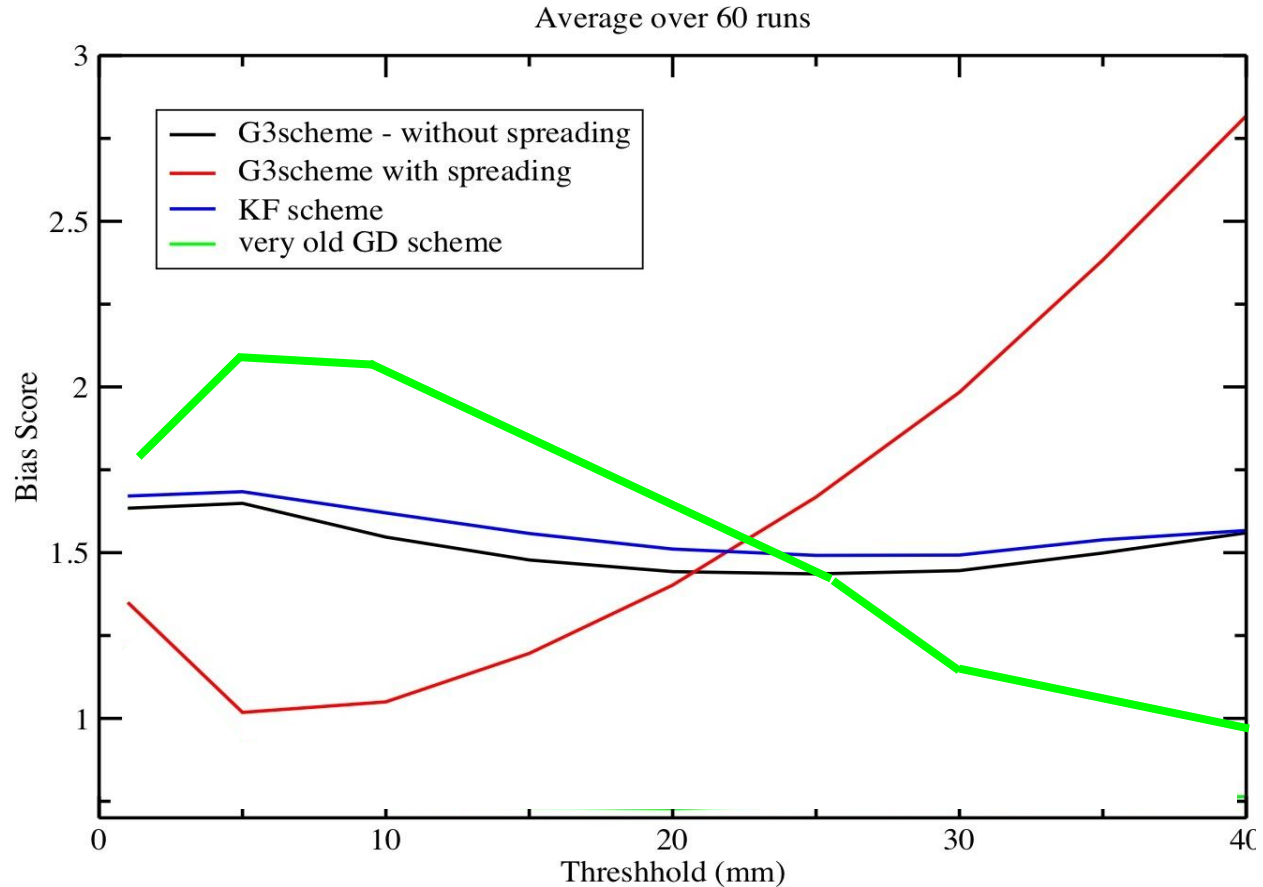
G3, no spreading

G3, with spreading



# Bias scores over all runs!

Effects of subsidence spreading on resolved versus non resolved ]



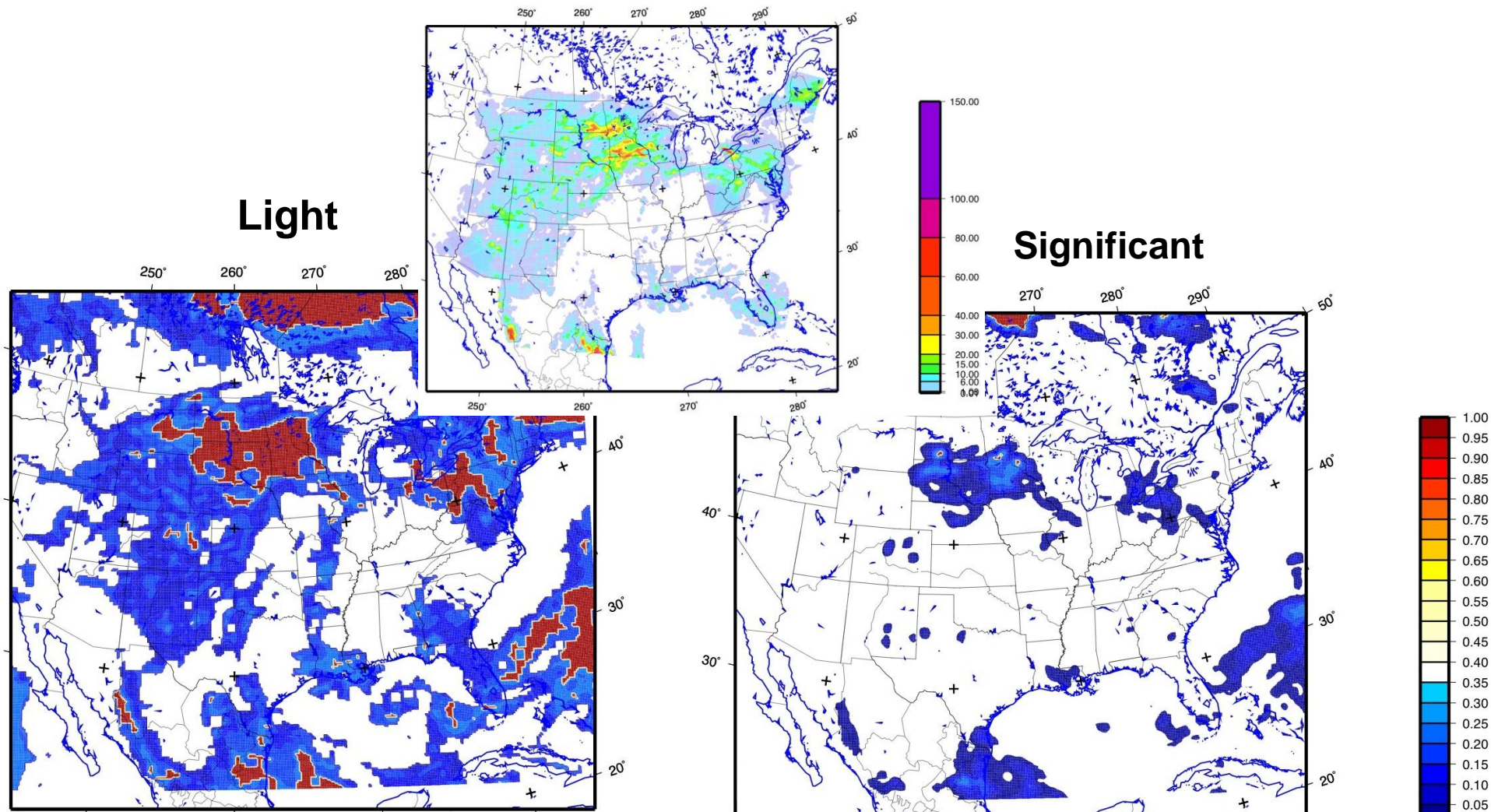
ETS scores were almost identical, with spreading slightly but insignificantly higher for low thresholds

# Some examples of PDF and weight applications

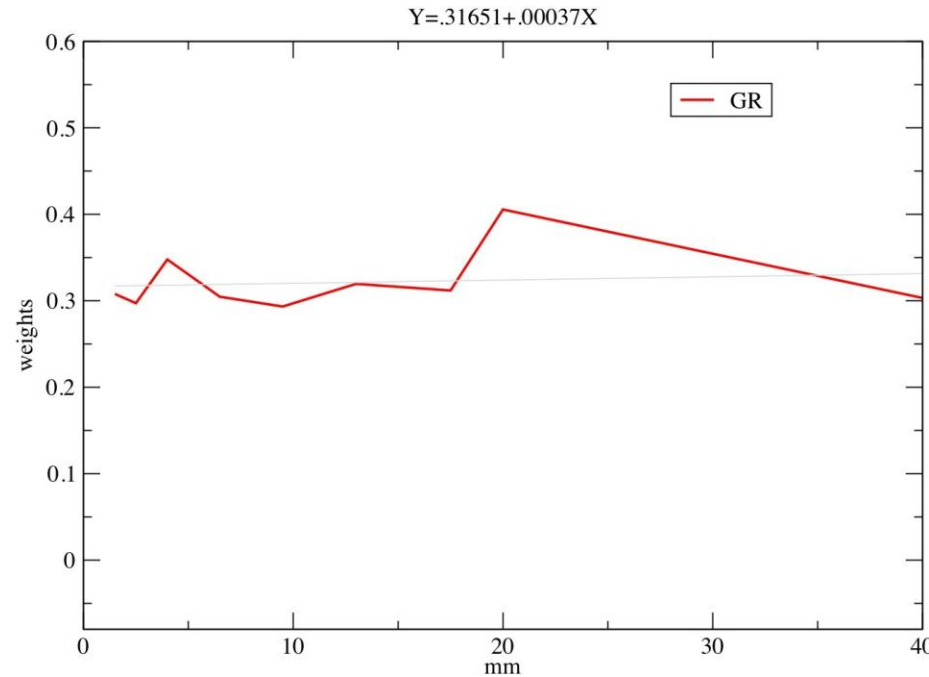
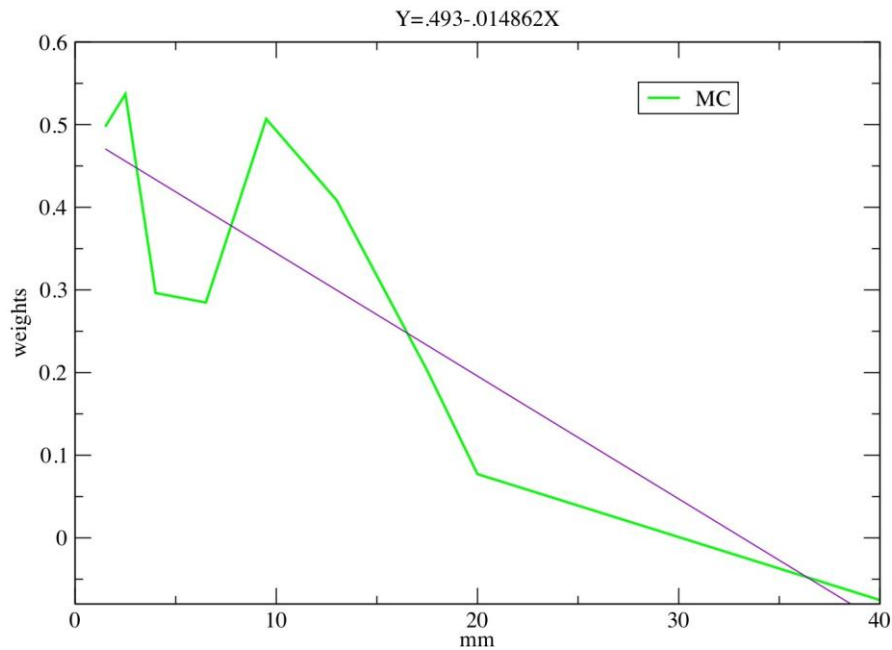
- PDF's are calculated on output (all ensemble precip rates)
- 3 examples of weights: Constant everywhere ( $w_1$ ), threshold dependent ( $w_2$ ), and threshold dependent only applied to output ( $w_3$ , not during run)

# Probability density functions

Forecast probabilities for light ( $>1\text{mm}$ ) and significant ( $>25\text{mm}$ ) precipitation, 26-July 2005

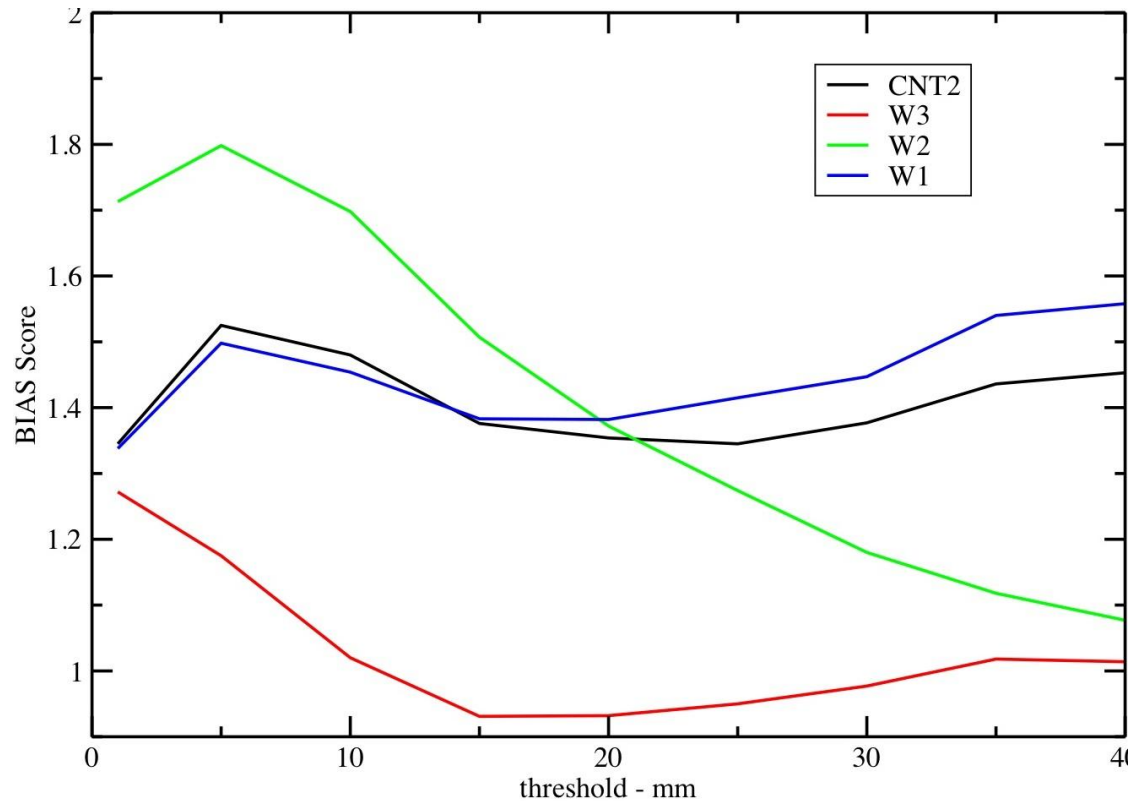


# Threshold dependent weight calculation for 2 closures



30 runs – WRF (first two weeks)

# Different Weight calculations and their verification scores



Bias Scores (last two weeks)

# Also possible: use for EnKF data assimilation

Instead of using all perturbations within one model run, use only one or a few within one run (many runs to increase ensemble spread for EnKF)

## Application within GFS

E. Grell and J-W Bao

<b>ENSEMBLE MEMBERS</b>	<b>CLOSURE TYPE</b>
1-8	Change of stability through effects other than convection (eg. Grell,1993)
9-16	Vertical motion dependent (eg. Brown, 1979, Frank and Cohen, 1987)
17-24	Integrated vertical advection of moisture (eg. Krishnamurti, 1983)
25-32	Instability removal within a specified time period (eg. Kain and Fritsch, 1992)
33-40	Change of stability as in members 1-8, but using climatological cloud work functions as in the GFS-SAS (Arakawa and Schubert, 1974)
41-48	Like members 1-8, but input T,q tendencies from PBL forcing only
49-56	Like members 33-40, but input T,q tendencies from PBL forcing only
57-64	Standard application of Grell-Devenyi scheme, uses mean mass flux from 16 members x 3 caps x 3 downdraft parameters at each time step

**64 separate model runs !**

# Parameter Value Permutations

- Maximum thickness of capping inversion
- Detrainment rate in updraft (applied to unstable layers only)
- Vertical extent of downdraft detrainment

# Implementation and Testing of the Method in NCEP's GFS Model

## Model Framework

The NOAA/ESRL experimental ensemble Kalman Filter (EnKF) data assimilation system, based on the GFS model and developed by Whitaker et al. (2008).

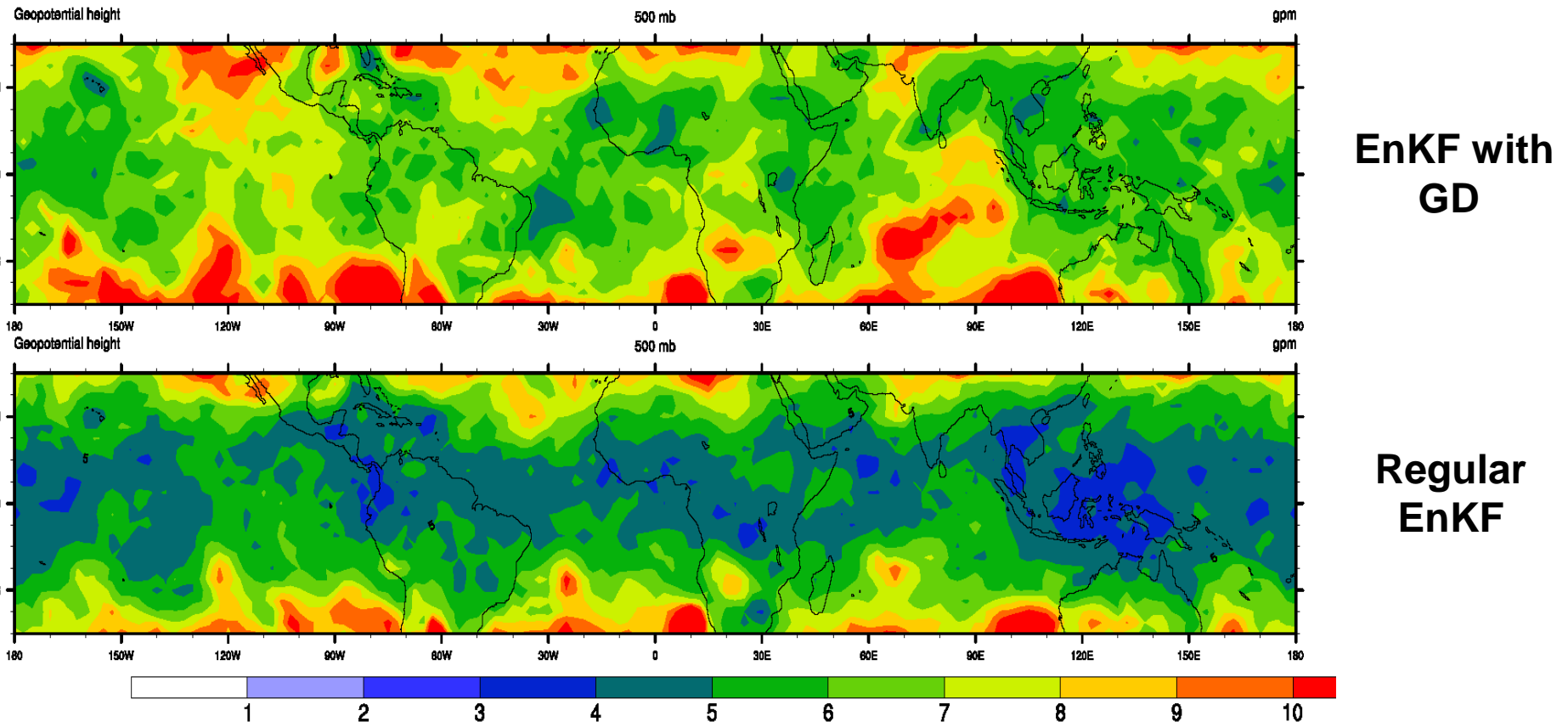
## Experiment Design

The data assimilation system is run at the resolution of T190 for the period of one month (Dec 2007). A 64-member ensemble of 9-h forecasts are updated at 6 h with observations.

An Ensemble Kalman Filter (EnKF) (Whitaker and Hamill, 2002) is applied to generate an ensemble of analyses every 6h.

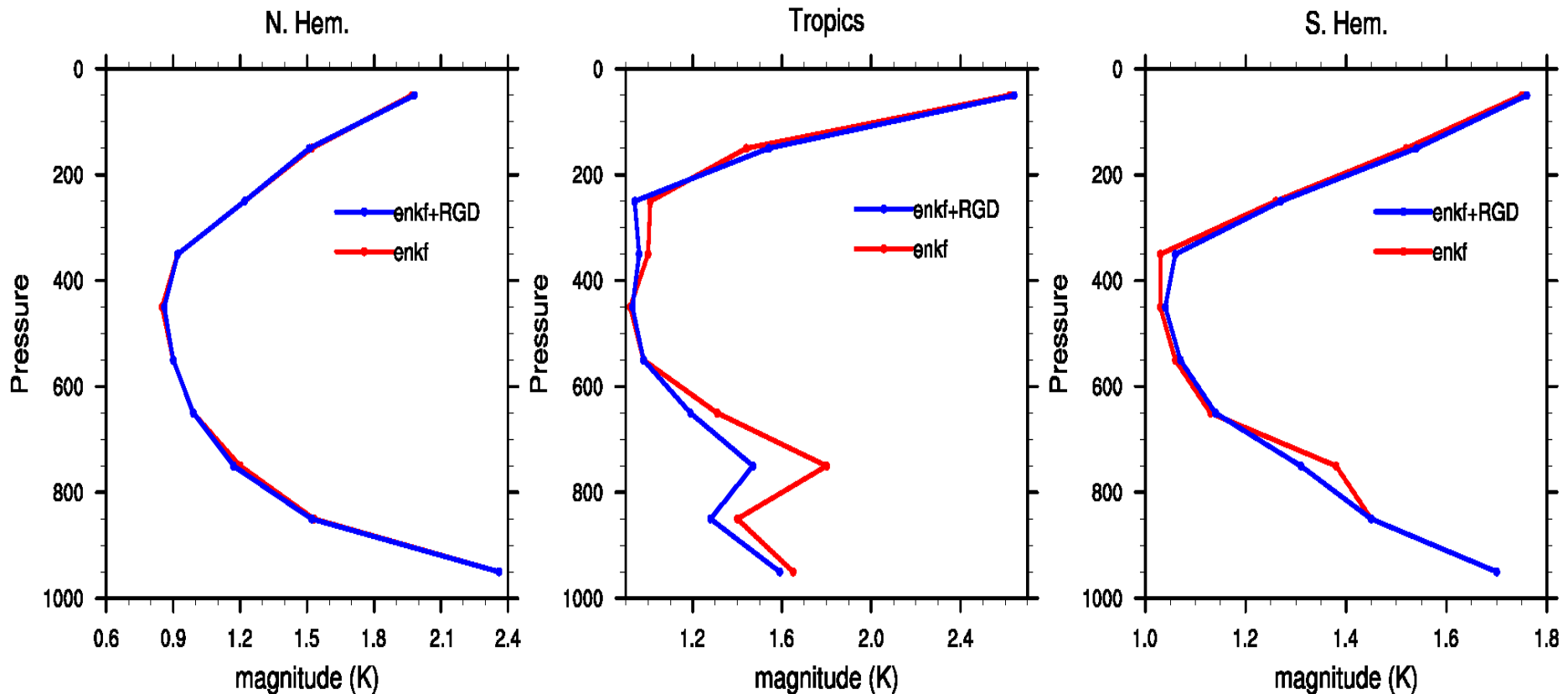
# Some Results

Ensemble spread of the 500mb geopotential height for the ensemble generated by the stochastic GD scheme with EnKF (top) and by the EnKF only (bottom).



# RMS error for GFS forecasts when using GD

6 day period (14-20 Dec 2007) with 6h cycling. Red lines are for the control ensemble generation; blue lines are for the ensemble generation using the stochastic convection scheme.



# Summary and conclusions:

- G3 is improved compared to GD, ensembles are changed. Bias- and EQS-scores are improved.
  - Will run at NCEP in Rapid Refresh (but without spreading)
  - Can be used to calculate PDF's, or use ensembles separate for EnKF data assimilation
- A 3-d version of the ensemble scheme is developed that spreads the subsidence effects over more than one grid cell
  - When spreading is turned on, the fraction of resolved precipitation increases drastically, especially for large thresholds
- Future and ongoing work:
  - Extend the range of spreading
  - High resolution ( $dx=3\text{km}$  or  $4\text{km}$ ) case of mesoscale convective systems (High Resolution Rapid Refresh, HRRR)
- Try the alternative approach of letting the resolved dynamics do all the subsidence

Thank you!